



2145  
IPW

PATENT  
STL920000096US1  
0055.0041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Adnan M. Mirza
T. Lee et al.	)	
Serial No.: 09/918,204	)	
Filed: July 30, 2001	)	Art Unit: 2145
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR	)	
TRANSFERRING DATA FROM	)	
AN APPLICATION ENGINE	)	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☐ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
  - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
  - 3) before the mailing date of a first Office Action on the merits; or
  - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☒ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
  - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
  - 2) a fee of \$180 as set forth in 1.17(p).

#### **STATEMENT UNDER 1.97(e)**

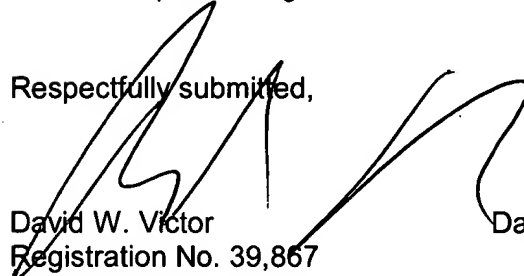
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

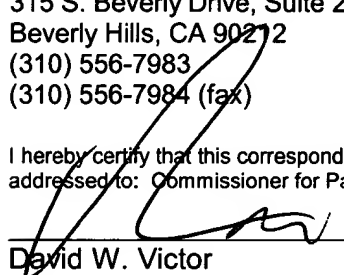
As required under paragraph C, please charge Deposit Account No. 09-0460 the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0460. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

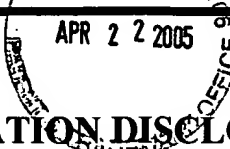
  
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Dated: April 20, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on April 20, 2005.

  
David W. Victor

4/20/05  
(Date)

<div style="text-align: center;">  <p>Form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p> </div>				<b>Complete if Known</b>	
Application Number		09/918,204			
Filing Date		July 30, 2001			
First Named Inventor		T. Lee et al.			
Art Unit		2145			
Examiner Name		Adnan M. Mirza			
Attorney Docket Number		STL920000096US1			
Page	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code (if known)			
		US-5,960,420	09-28-1999	Leymann et al.	
		US-2002/0038357	03-28-2002	Haverstock et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code- Number- Kind Code				
		JP-10081425	03-31-1998	Blake et al.		
		JP-10326314	12-08-1998	Saito et al.		
		JP-11003298	01-06-1999	Ogawa et al.		
		JP-11175644	07-02-1999	Minoya et al.		
		JP-11249983	07-07-1999	Chen et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
		CHOUDHURY, G.S., C. REQUARDT, I. FUJINAGA, T. DILAURO, E.W. BROWN, J.W. WARNER, & B. HARRINGTON, ""Digital Workflow Management: The Lester S. Levy Digitized Collection of Sheet Music", [online], <i>First Monday</i> , Volume 5, Number 6, June 2000, [Retrieved on March 17, 2005]. Retrieved from the Internet at <URL: <a href="http://firstmonday.org/issues/issue5_6/choudhury/index.html">http://firstmonday.org/issues/issue5_6/choudhury/index.html</a> >.	
		HUDGINS, J. & L.A. MACKLIN, "New Materials, New Processes: Implementing Digital Imaging Projects into Existing Workflow", <i>Library Collections, Acquisitions, &amp; Technical Services</i> 24, 2000, pp. 189-204.	
		IBM CORPORATION, "Method for Testing Program Activity Implementations of Workflow Management Systems", <i>IBM Research Disclosure</i> 408186, April 1998, pp. 503-505.	
		IBM CORPORATION, "Non-destructive Annotation of Documents in an Image Processing Environment", <i>IBM Research Disclosure</i> 430191, February 2000, pp. 404-408.	
		IBM CORPORATION, "Runtime Transaction Management in a Transaction Service", <i>IBM Research Disclosure</i> 416150, December 1998, pp. 1720-1721.	
		SPITZER, T. "Forms, Workflow, and the Web", [online] <i>New Architect: Business Developer</i> , [Retrieved on March 17, 2005]. Retrieved from the Internet at <URL: <a href="http://www.webtechniques.com/archives/1999/10/busi/">http://www.webtechniques.com/archives/1999/10/busi/</a> >.	

Examiner Signature		Date Considered	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			